

## Copyright Office, Library of Congress

## § 201.3

(C) Satisfactory assurance that the requested reproduction will be used only in connection with the specified litigation.

(iii) The Copyright Office receives a court order for reproduction of the deposited copies, phonorecords, or identifying material of a registered work which is the subject of litigation. The order must be issued by a court having jurisdiction of the case in which the reproduction is to be submitted as evidence.

(3) When a request is made for a reproduction of a phonorecord, such as an audiotape or cassette, in which either a sound recording or the underlying musical, dramatic, or literary work is embodied, the Copyright Office will provide proximate reproduction. The Copyright Office reserves the right to substitute a monaural reproduction for a stereo, quadraphonic, or any other type of fixation of the work accepted for deposit.

[50 FR 30170, July 24, 1985, as amended at 51 FR 30062, Aug. 22, 1986; 62 FR 35421, July 1, 1997; 64 FR 29520, June 1, 1999]

### § 201.3 Fees for registration, recordation, and related services, special services, and services performed by the Licensing Division.

(a) *General.* This section prescribes the fees for registration, recordation, and related services, special services, and services performed by the Licensing Division.

(b) *Definitions.* For purposes of this section, the following definitions apply:

(1) *Registration, recordation, and related service fee.* This is the fee for a registration or recordation service that the Office is required to perform under 17 U.S.C., or a directly related service. It includes those services described in section 708(a)(1)–(9) and authorized by Pub. L. 105–80.

(2) *Special service fee.* This is a fee for a special service not specified in title 17, which the Register of Copyrights may fix at any time on the basis of the cost of providing the service, as provided by 17 U.S.C. 708(a)(10).

(3) *Licensing Division service fee.* This is a fee for a service performed by the Licensing Division.

(c) *Registration, recordation and related service fees.* The Copyright Office has established the following fees for these services:

Registration, recordation and related services	Fees
(1) Registration of a basic claim in an original work of authorship: Forms TX, SE, PA, VA (including Short Form) and Form SR .....	\$30
(2) Registration of a claim in a group of contributions to a periodical (GR/CP) .....	30
(3) Registration of a renewal claim (Form RE):	
Claim without Addendum .....	60
Addendum .....	30
(4) Registration of a claim in a mask work (Form MW) .....	75
(5) Registration of a claim in a group of serials (Form SE/Group) [per issue, with \$45 minimum] .....	15
(6) Registration of a claim in a group of daily newspapers and qualified newsletters (Form G/D N) .....	55
(7) Registration of a claim in a restored copyright (Form GATT) .....	30
(8) Registration of a claim in a group of restored works (Form GATT/Group) [per issue, with \$45 minimum] .....	15
(9) Registration of a correction or amplification to a claim (Form CA) .....	100
(10) Providing an additional certificate of registration .....	30
(11) Certification of other Copyright Office records (per hour) .....	80
(12) Search—report prepared from official records (per hour) .....	75
(13) Location of Copyright Office records (per hour) .....	80
Location of in-process materials (per hour) .....	100
(14) Recordation of document (single title) .....	80
Additional titles (per group of 10 titles) .....	20
(15) Recordation of a Notice of Intention to Enforce (NIE) a restored copyright containing no more than one title .....	30
Additional NIE titles (each) .....	1
(16) Recordation of Notice of Intention to Make and Distribute Phonorecords .....	12
(17) Issuance of a receipt for a § 407 deposit .....	10

(d) *Special service fees.* The Copyright Office has established the following fees for special services:

Special services	Fees
(1) Service charge for deposit account overdraft .....	\$100

Special services	Fees
(2) Service charge for dishonored deposit account replenishment check .....	35
(3) Appeals:	
(i) First appeal .....	200
Additional claim in related group .....	20
(ii) Second appeal .....	500
Additional claim in related group .....	20
(4) Secure test processing charge, per hour .....	60
(5) Copying of Copyright Office Records by staff, per page (black & white) .....	.50
(6) Special handling fee for a claim .....	580
Each additional claim using the same deposit .....	50
(7) Special handling fee for recordation of a document .....	330
(8) Full-term retention of a published deposit .....	425
(9) Expedited Reference and Bibliography search and report (surcharge, per hour) .....	250
(10) Expedited Certification & Documents services (surcharge, per hour) .....	200

(e) *Licensing Division service fees.* The following fees for certain services performed by the Licensing Division: Copyright Office has established the

Licensing Division services	Fees
(1) Recordation of a Notice of Intention to Make and Distribute Phonorecords (17 U.S.C. 115) .....	\$12
(2) Certificate of Filing a Notice of Intention (17 U.S.C. 115) .....	8
(3) Filing Fee for Recordation of License Agreements under 17 U.S.C. 118 .....	50
(4) Recordation of Certain Contracts by Cable Television Systems Located Outside the Forty-Eight Contiguous States .....	50
(5) Initial Notice of Digital Transmission of Sound Recording (17 U.S.C. 114) .....	20
Amendment of 17 U.S.C. 114 Notice .....	20
(6) Statement of Account Amendment (Cable Television Systems and Satellite Carriers, 17 U.S.C. 111 and 119) .....	15
(7) Statement of Account Amendment (Digital Audio Recording Devices or Media, 17 U.S.C. 1003) .....	20
(8) Using Public Photocopier, per page .....	.25
Photocopies Made by Licensing Staff, per page .....	.40
(9) Search, per hour .....	65
(10) Certification of Search Report .....	65

[64 FR 29520, June 1, 1999, as amended at 64 FR 36574, July 7, 1999; 65 FR 39819, June 28, 2000; 67 FR 38005, May 31, 2002]

#### § 201.4 Recordation of transfers and certain other documents.

(a) *General.* (1) This section prescribes conditions for the recordation of transfers of copyright ownership and other documents pertaining to a copyright under section 205 of title 17 of the United States Code, as amended by Pub. L. 94-553. The filing or recordation of the following documents is not within the provisions of this section:

(i) Certain contracts entered into by cable systems located outside of the 48 contiguous States (17 U.S.C. 111(e); see 37 CFR 201.12);

(ii) Notices of identity and signal carriage complement, and statements of account, of cable systems (17 U.S.C. 111(d); see 37 CFR 201.11; 201.17);

(iii) Original, signed notices of intention to obtain compulsory license to make and distribute phonorecords of

nondramatic musical works (17 U.S.C. 115(b); see 37 CFR 201.18);

(iv) License agreements, and terms and rates of royalty payments, voluntarily negotiated between one or more public broadcasting entities and certain owners of copyright (17 U.S.C. 118; see 37 CFR 201.9);

(v) Notices of termination (17 U.S.C. 203, 304(c); see 37 CFR 201.10); and

(vi) Statements regarding the identity of authors of anonymous and pseudonymous works, and statements relating to the death of authors (17 U.S.C. 302).

(2) A *transfer of copyright ownership* has the meaning set forth in section 101 of title 17 of the United States Code, as amended by Pub. L. 94-553. A document shall be considered to “pertain to a copyright” if it has a direct or indirect relationship to the existence, scope, duration, or identification of a copyright, or to the ownership, division, allocation, licensing, transfer, or exercise of rights under a copyright. That